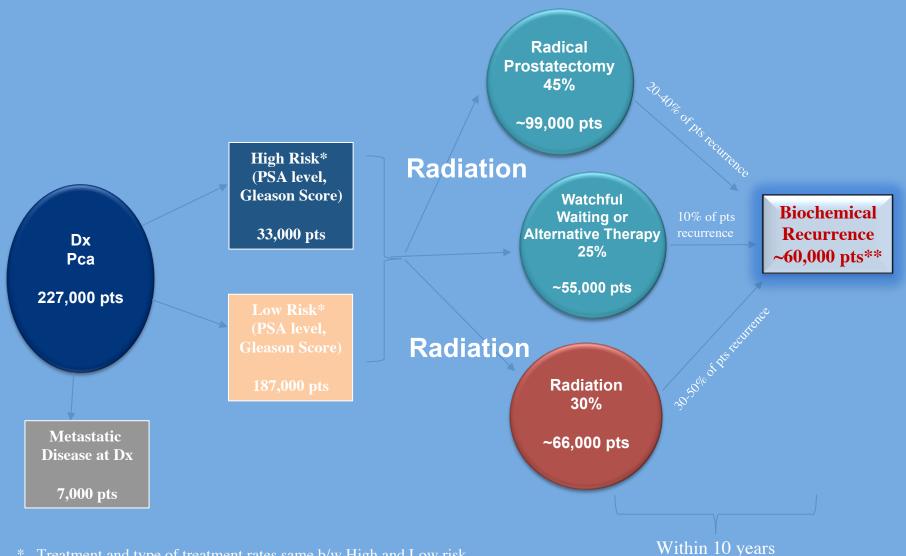
There Are Newer and More Promising Agents Than Chemotherapy

Neal D. Shore IPCU 2016

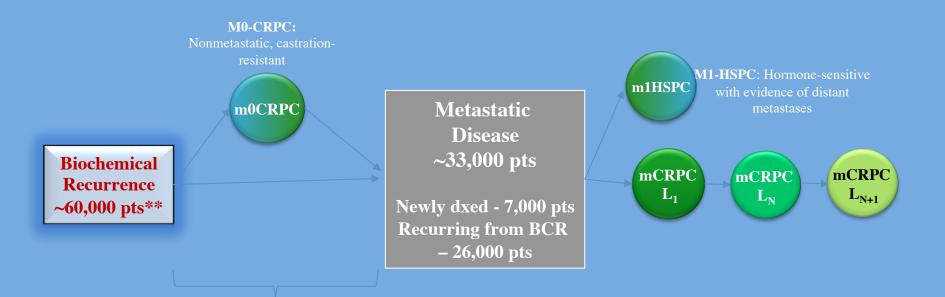
PCa Disease States - U.S. Statistics



Treatment and type of treatment rates same b/w High and Low risk.

^{**} Used Midpoint of the % Recurrent ranges.

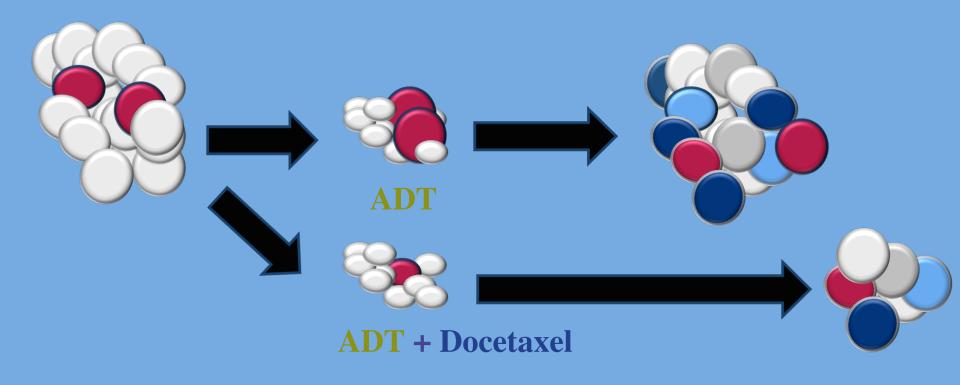
PCa Disease States - U.S. Statistics



High Risk (Gleason Score, PSADT) – high % progress to metastatic disease and median time to progression is ~2 years

Low Risk – less progress to metastatic disease and time to metastatic disease is 5-7 years

The hypothesis

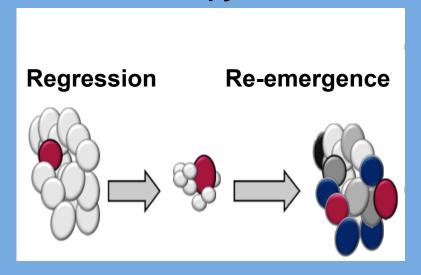






Chemo + ADT: Rationale behind the combination

Androgen Deprivation Therapy



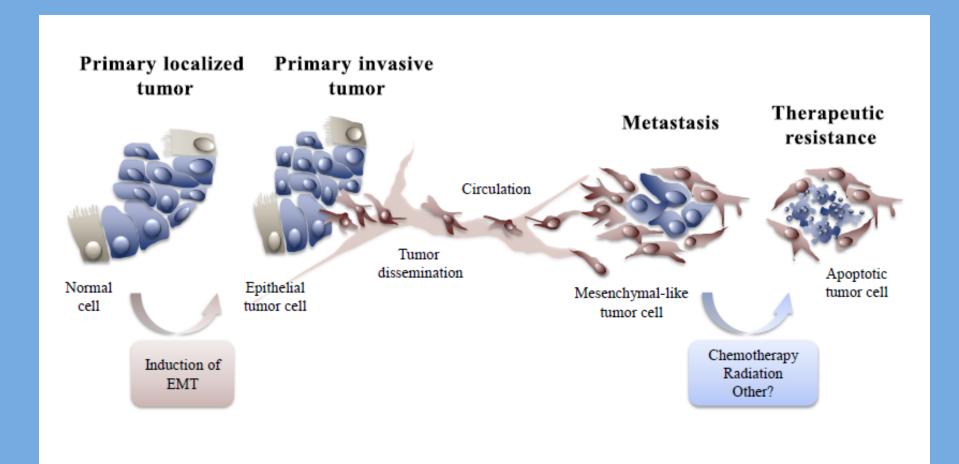
Pro

- Attack de-novo testosterone independent clones early, allowing ADT to keep PrCa in remission longer
- Docetaxel may inhibit AR nuclear expression
- Some patients may be too frail for chemotherapy at progression.

Con

- ADT will take cells out of cycle and
 - be less responsive to cytotoxics
- Some patients respond to ADT for a long time and never need chemotherapy

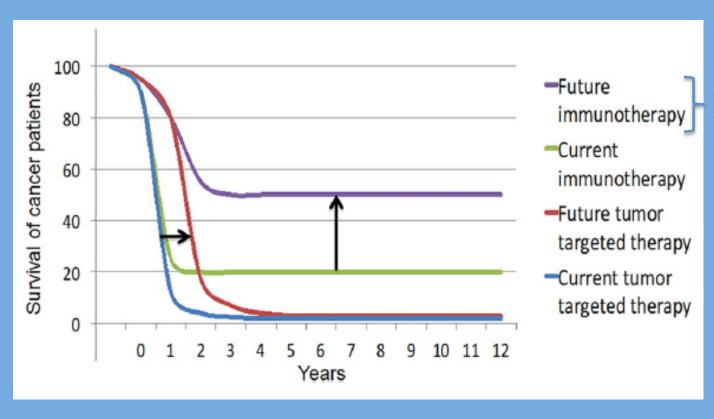
Role of Tumor EMT in Metastasis and Therapeutic Resistance



The Future

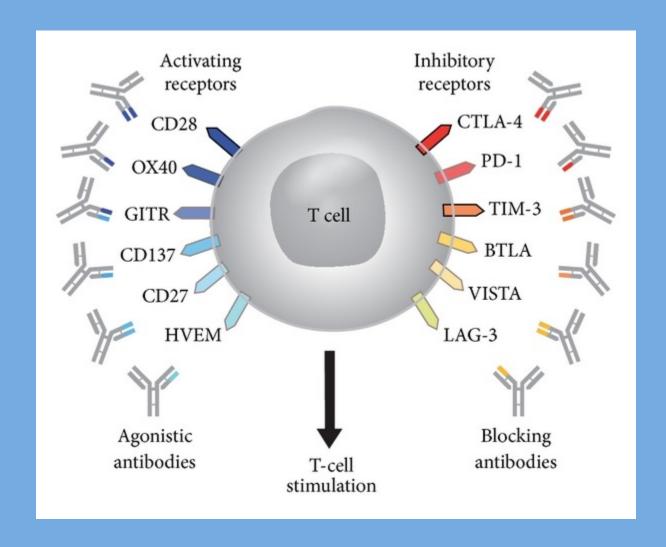


"Tail of the Curve" Sets Immunotherapy Apart from Targeted Therapy

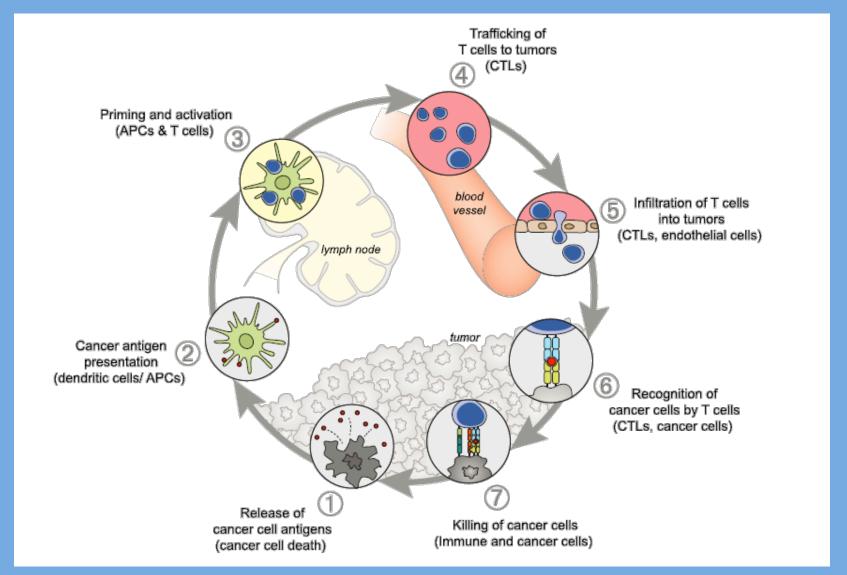


- I-O+I-O
- I-O+Targeted/ Directed/SOC

Targeting T cells



The Cancer-Immunity Cycle



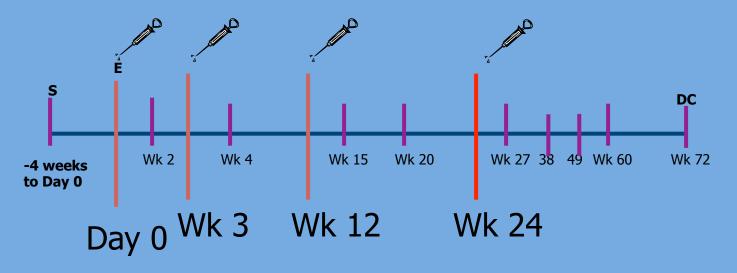
Numerous Oncology Vaccines Being Developed in PCa



Vaccines are under investigation

| Brand (molecule) | Mechanism of Action | Company | Phase | Current Study/Existing Data |
|----------------------------|---|--------------------|-------|--|
| Prostvac Subcutaneous inj. | PSA vaccine: Poxvirus express PSA + TRICOM (LFA-3, ICAM-1 and B7.1) | Bavarian Nordic | III | Phase III in mCRPC/ Phase II (N=125) showed successful OS as monotherapy in same population; Phase I combo with YERVOY showed long OS compared to historic controls |
| Prostatac(r) | PSA vaccine: transferrin transport technology | OncBio- Mune | IA/IB | BCR patients who have not started hormone therapy, N=48 / Phase I in 12 patients neo-adjuvant setting |
| CV9103/ CV9104 | RNA Vaccine with 4 Antigens – PSA, PSCA, PSMA, STEAP1 | CureVac | II | CV9104 in Phase II in mCRPC asymptomatic, N=197 patients/ Phase I in M0 CRPC, N=48, 80% of patients showed immune response and 2/3 responded to multiple antigens |
| ADXS31-142 | PSA vaccine: Listeria monocytogenes-based vaccine | Advaxis | 1/11 | Phase I trial looking at safety of monotherapy and combination with pembrolizumab /Pre-clinical studies as monotherapy and combination |

Inovio-On-going Phase I (PCa-001): PSA Relapse



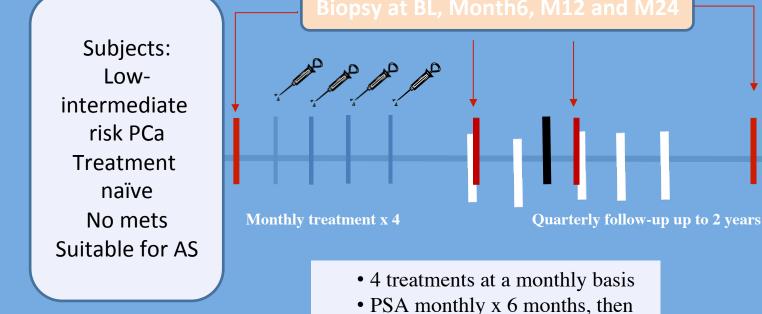
- 6-month treatment at weeks of 0, 3, 12, 24
- 72- week follow-up
- 4 dose cohorts, total N=60

| Cohort | INO-5051 (mg) | INO-9012 (mg) | N |
|--------|------------------|---------------|----|
| А | 2 | 0 | 15 |
| В | 8.5 | 0 | 15 |
| С | 2 | 1 | 15 |
| D | 8.5 | 1 | 15 |

DC = discharge; E = enrollment; S = screening; Wk = week. The intervals between time points are not actually proportional.

Inovio-Phase I (PCa-002) Study

In patient with localized Pca under active surveillance (AS)



Endpoints Primary:

Change in TIL

Secondary:

- PSA control
- DFS
- Time to new treatment

• N=20-30 in single arm

• 2 year follow-up

quarterly up to 24 months

The intervals between time points are not actually proportional.

Very High Risk and Oligo-Metastatic Prostate Cancer

- Uncertain standard of care
- Multiple advances:
 - Improved disease identification (imaging)
 - Improved surgical and radiation based treatments
 - Improved systemic therapies
- Multiple questions:
 - Best local primary treatment
 - Benefit of treatment to metastatic sites
 - Benefit of early intensification of systemic therapy
- Controlled clinical trials are critical
- At a minimum, these men should be captured in prospective registries (disease/QOL outcomes, bio-specimen collection)

Large Urology Group Practice Association-- LUGPA

- The LUGPA represents 121 large urology group practices
 - >2,000 physicians
 - >20% of the nation's practicing urologists
- Committed to best practices, research, data collection and benchmarking to promote quality clinical outcomes

Objectives

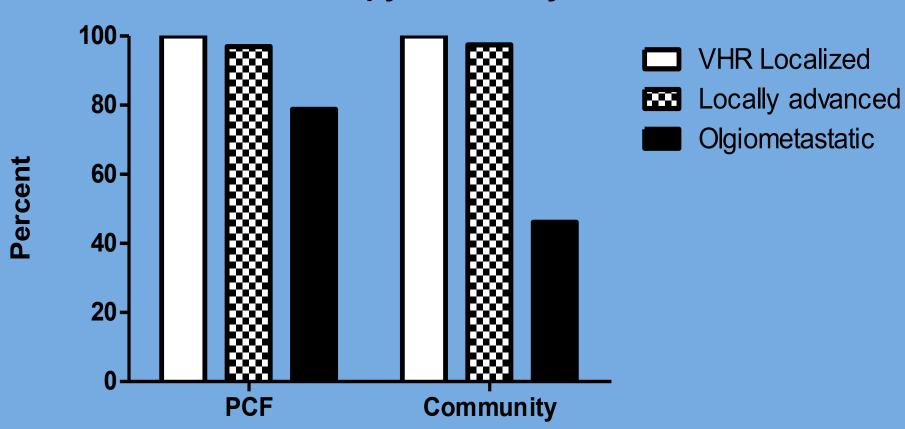
- Review perspectives of PCF members and practitioners from large urology practice groups regarding management of contemporary very high risk localized, locally advanced, and oligo-metastatic prostate cancer
 - Gauge community practice trends towards the management of these men
 - Increase interaction between academics and community practices
 - Encourage LUGPA registries and clinical trials for these men

Design

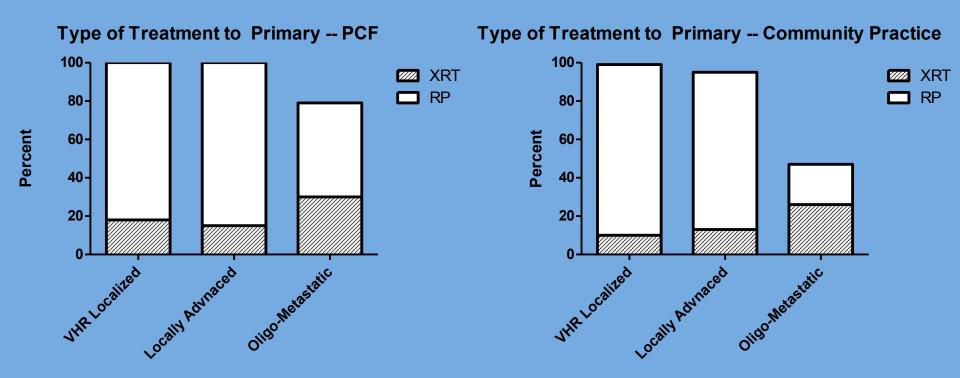
- Data acquisition based on survey completed by 33 PCF meeting attendees and 39 members of large urology practice groups
 - Based on contemporary patients
 - Assessment of perspectives on
 - Local control
 - Use of systemic chemotherapy
 - Metastasis directed therapy
 - Perspectives on what we should focus on as a field
- Tremendous caveats all acknowledged

Local Control

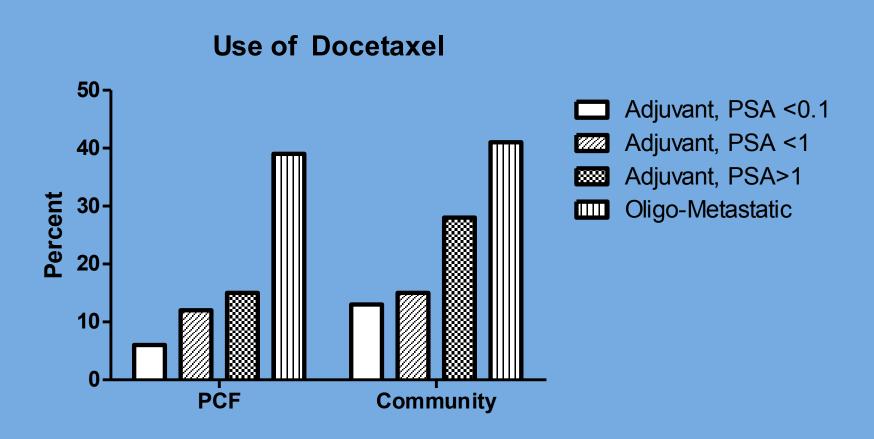
Use of Therapy to Primary



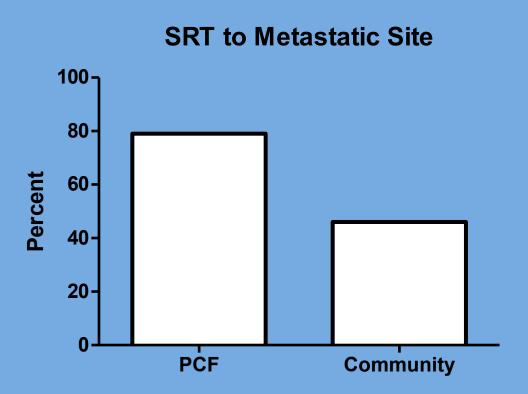
Local Control



Use of Systemic Chemotherapy



Use of Local Treatment To Metastatic Sites



Future Areas of Focus

PCF

- Determine best local therapy (24%)
- Better imaging (24%)
- SRT to mets (24%)
- Immunotherapy (15%)
- Better ADT (12%)

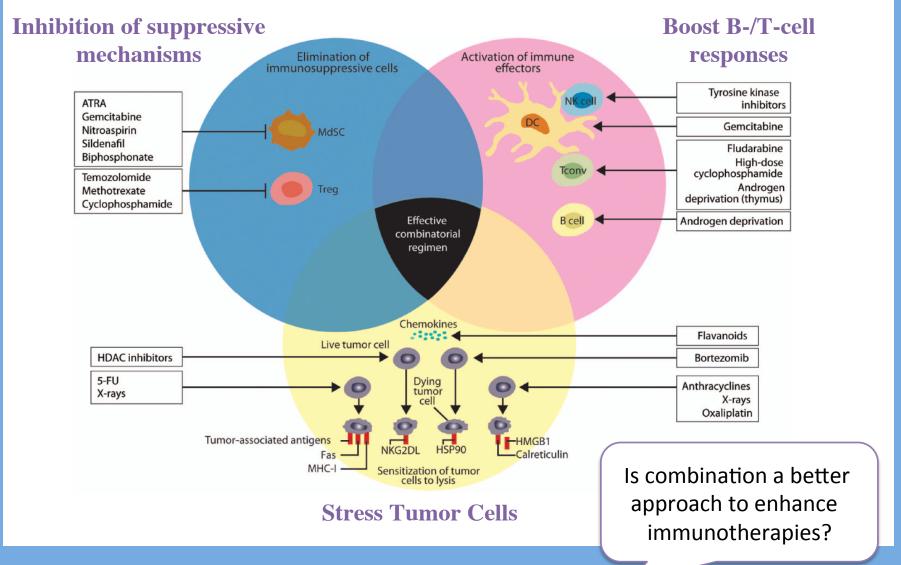
Community Practice

- Determine best local therapy (26%)
- Immunotherapy (21%)
- SRT to mets (18%)
- Small molecule inhibitors (non-androgen) (15%)
- Better ADT (10%)
- Better imaging (10%)

Conclusions

- In these men where disease progression is highly likely, there is enthusiasm for aggressive disease control using local and systemic therapies
- Benefit of aggressive approaches is unclear
- With increased organizational structure to large community based practices comes opportunity for increased research and understanding

Combination Therapy Might Enhance Immunotherapy



Spectrum of Cancer Immunotherapies

- Recombinant Vaccines
- Cytokines / Immunocytokines
- Immune Checkpoint Inhibitors

Immuno-Oncology Platform: Combination Therapies

- Chemotherapy
- Radiation Therapy
- Small Molecule Targeted Therapies
 - Hormonal Therapy

Three Different Approaches Assessing Truncated AR

 Truncated AR have been linked to lack of response when treated with abiraterone or enzalutamide in the C-terminal loss of CRPC patients

| | Circulating | Bone Marrow Biopsies | | | |
|----------------------------|---|---|--|--|--|
| | Johns Hopkins/AdnaGen | Memorial Sloan/Epic Sciences | MD Anderson | | |
| Assay Methodology | Magnetic Bead Antibody Tumor Cell Magnetic Bead Magnetic Bead | * | | | |
| AR and AR-V7 Detection | Immunomagnetic isolation of CTCs AR determination (AdnaTest ProstateCancerDetect kit (AdnaGen) AR-V7 determination, proprietary qRT-PCR assay (Johns Hopkins) | Immunofluorescence using N/C- terminal CTC Assay (IHC) to measure the existence of AR and C-terminal truncated AR splice variants | IHC on formalin-fixed, paraffin- embedded sections of bone marrow specimens utilizing anti-AR N- terminal and anti-AR-V7 antibodies | | |
| Assay Selective for AR-V7 | YES | IN PROGRESS | YES | | |
| Pt/Physician Experience | Blood Draw | Blood Draw | Biopsy | | |

Preferred Approache

Hopkins Study: Current Oral Therapies Lack Activity in AR-V7+ Disease (cont'd)

- Only 1 AR-V7 positive patient showed any PSA reduction
- AR-V7 prevalence increased post additional treatments

| | | Response | | | | | | |
|------------------------|--------------------|-----------------|----------------|-------------------|----------|-------------------|-----------------------|-------------------|
| Treatment | Baseline AR-V7+ | AR-V7 status | PSA50 | <i>P</i> value | rPFS | P value | OS (95% CI) | <i>P</i> value |
| Abiraterone (N=31) | 19% (6/31) | + | 0% (0/6) | 0.004 | 2.3 mos | <0.001 — | 11.1 mos (8.5–NR) | - <0.001 |
| | | _ | 68% (17/25) | | >6.3 mos | | NR (>18 mos) | |
| Enzalutamide (N=31) | 39% (12/31) | + | 0% (0/12) | 0.004 | 2.1 mos | - <0.001 — | 7.4 mos (3.9–NR) | _ <0.001 |
| | | _ | 53% (10/19) | 0.004 | 6.1 mos | | 16.0 mos (14.2–NR) | |

Galeterone: Combination of 3 Distinct Mechanisms of Action

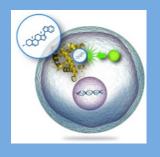
First in Class Potential

Androgen Receptor (AR) Degrader



Decreases AR Levels
Differentiated Mechanism

CYP17 Inhibitor



Inhibits Androgen Synthesis Validated Mechanism

AR Antagonist



Blocks Androgen Binding Validated Mechanism

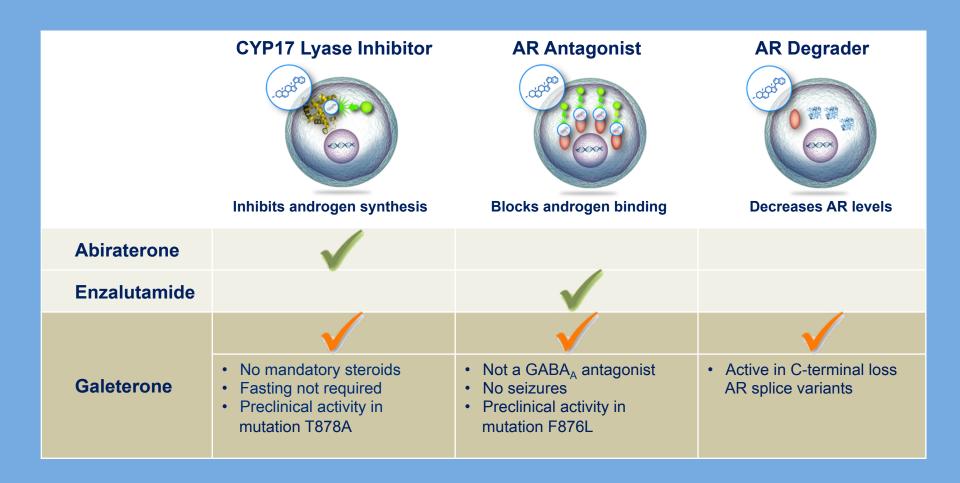


Active in CRPC patients and supporting data in patients with C-terminal Loss

No Steroids required

No Seizures to date Not a GABA, antagonist

Galeterone: Selective, Multi-targeted, Small Molecule for Treatment of CRPC



ARMOR3-SV: First Precision Medicine

Prostate Cancer Pivotal Trial

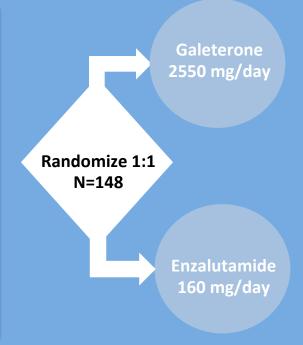
Unique trial design finalized in consultation with FDA and EMA

Key Inclusion:

- Progressive metastatic (M1)
 disease on androgen
 deprivation therapy based on
 PCWG2
- Detectable AR-V7 from CTCs
- ECOG 0 or 1

Key Exclusion:

- Prior treatment with second generation antiandrogens (eg, abiraterone, enzalutamide)
- Prior treatment with chemotherapy for CRPC



Primary Endpoint:

 Radiographic progression free survival (rPFS)

Secondary Endpoints:

- Time to cytotoxic therapy
- os

ARMOR3-SV Trial Schematic: Open Label Phase 3 Pivotal Trial for Registration

Key Inclusion Criteria

- M1 disease
- Progressive disease on androgen deprivation therapy based on PCWG2
- Detectable AR-V7 from CTCs
- ECOG 0 or 1

Key Exclusion Criteria

- Prior treatment with second generation antiandrogens (abiraterone, enzalutamide)
- Prior treatment with chemotherapy for CRPC

Galeterone 2550 mg/day

Randomize

N<170

Enzalutamide 160 mg/day

Primary Endpoint

 Radiographic progression free survival

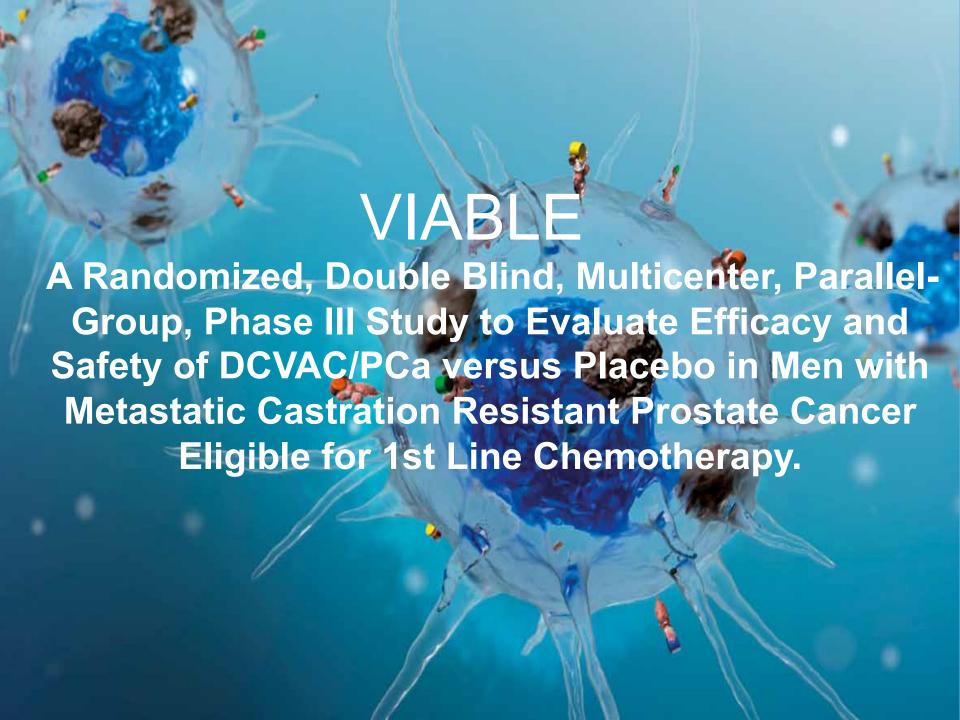
Secondary Endpoints

- Overall Survival
- Skeletal related events
- Time to cytotoxic therapy

Other Endpoints

- Safety
- PSA50
- Time to progression and ECOG deterioration
- Best overall response by RECIST 1.1
- CTC characterization
- Pharmacokinetics
- Quality of life

• Independent Data Monitoring Committee planned



Introduction of DCVAC/PCa



SOTIO's lead product is Active Cellular Immunotherapy for patients with prostate cancer, entitled DCVAC/PCa

About the product

 SOTIO's prostate cancer immunotherapy treatment DCVAC/PCa is an autologous immunotherapy manufactured from the patient's own white blood cells collected during leukapheresis procedure (1) at the apheresis center.

Manufacturing

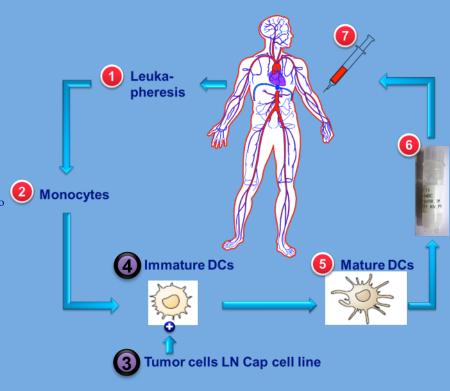
- After leukapheresis, the harvested cells are sent to SOTIO's GMP certified laboratories where they are processed into the DCVAC immunotherapy.
- Monocytes separated from the entire leukapheresis product (2) are cultivated *ex vivo* into immature dendritic cells (3). These immature dendritic cells are then pulsed with tumor cells killed by immunogenic cell death (4) using proprietary high hydrostatic pressure (HHP) technology. Subsequently, pulsed dendritic cells are matured (5) and the resulting product is frozen, stored in liquid nitrogen and shipped to the treatment site (6).

Treatment

- The first dose of the treatment is available for administration to the patient approximately four weeks after leukapheresis. A single leukapheresis session yields multiple doses (up to 15 doses) of DCVAC, which is sufficient to treat the patient for up to a year or more.
- After being thawed and diluted, the ACI is administered subcutaneously at regular treatment intervals (every 2-6 weeks) depending on the trial design.
- Following subcutaneous administration, dendritic cells migrate into lymph nodes. There
 they meet and activate naïve T-lymphocytes which allows them to recognize tumor
 antigens.
- Naïve lymphocytes become effector lymphocytes which rapidly proliferate.
 Anti-tumor specific T cells migrate via bloodstream through the entire body, searching for and destroying tumor cells.

Clinical trials

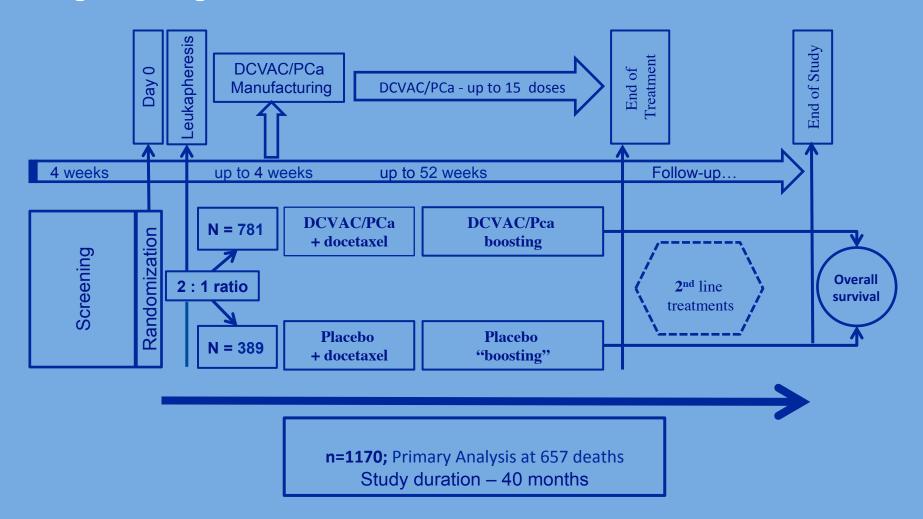
Since 2012 SOTIO has launched five Phase II clinical trials with DCVAC/PCa. SOTIO's global Phase III VIABLE study, was launched in May 2014 and is recruiting ~ 1200 patients in over 20 countries in Europe and USA.



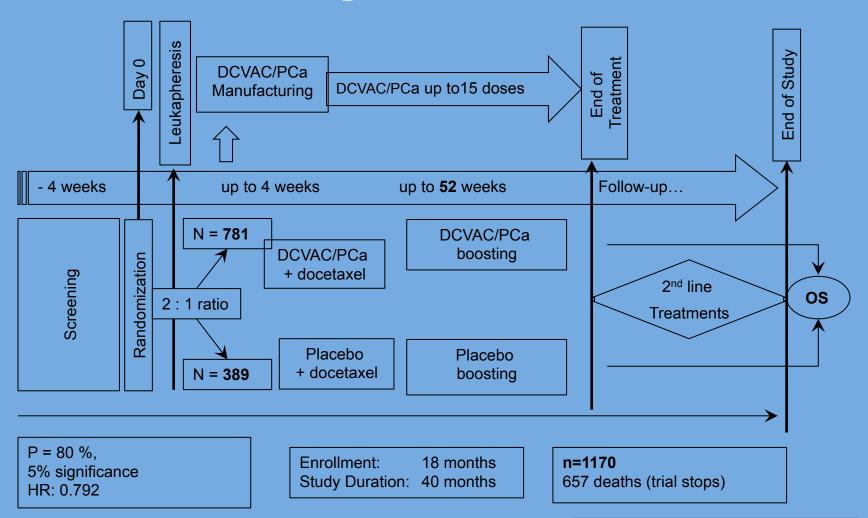
DCVAC/PCa - Prostate cancer



Design of the global Phase III trial



Design of SP005



New Stratification factors:

- Region
- Prior therapy
- ECOG score

VIABLE: Study Phases



1)PRE-TREATMENT PERIOD

- Screening (up to 28 days)
- Randomization (IVRS or IWRS) 2:1 randomization ratio
- Leukapheresis: within 14 days from randomization

2)CONCURRENT TREATMENT PERIOD

- Standard of Care chemotherapy (docetaxel 75mg/m2+prednisone 5mg/m² bid, D1) q3w
- The chemotherapy starts within 7 days after Leukapheresis and the 1st dose of the vaccine starts in Cycle 2
- DCVAC/PCa q3w

3)MAINTENANCE BOOSTING PERIOD

 After completion of 1st line standard of care for any reason, patient will continue on DCVAC or placebo until completion, refusal, intolerance or introduction of second line.

4)FOLLOW-UP PERIOD

- After completing DCVAC doses, all patients will be followed until refusal, death or study closure upon reaching targeted number of events
- Second line anti-tumor therapies under investigator's discretion (based on the list of allowed therapies per protocol)

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STAMPEDE: Patient eligibility

High-risk, newly diagnosed, non-metastatic, node-negative

OR

Newly diagnosed metastatic or node-positive disease

OR

Previously treated with radical surgery and/or RT, now relapsing

STAMPEDE (UK): Trial design

Patients eligible for STAMPEDE >6500 recruited

Newly diagnosed M1 patients¹ Randomization

B
$$Arm A + ZA$$

F Arm
$$A + ZA + DOC$$

H Arm
$$A + RT$$
 to prostate

All other patients²

Randomization

B
$$Arm A + ZA$$

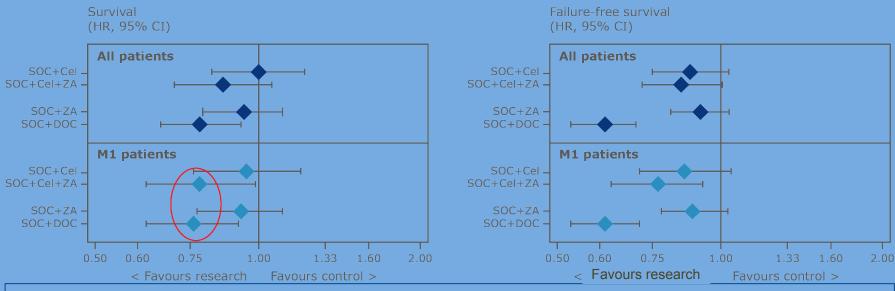
F Arm
$$A + ZA + DOC$$

¹Except patients with contraindication to RT ²Locally advanced (either node positive, or with 2 of 3 risk factors: stage T3/4 PSA ≥40ng/ml or Gleason sum score 8–10)

Celecoxib with or without zoledronic acid for hormone-naïve prostate cancer: Results from STAMPEDE

Summary: OS

Summary: FFS



Conclusions:

- Adding ZA does not improve FFS or OS
- Adding Cel does not improve FFS or OS
- Adding Cel+ZA does not improve FFS or OS in whole trial population
- However, adding Cel+ZA improves FFS and OS in M1
- Point estimate in M1 disease similar to adding docetaxel

Newer Therapeutic Possibilities

 High risk localized(A)- BCR(B)-ASMC(C)-CRPC(D)

- (A)Androgen Annihilation/Intervention
- (B)Immunotherapy +/- ADT
- (C &D)Advanced Disease: Chemhormonal-Chemoimmunologic-Targeted/Selected
 Combinations

January 22, 2016 42

Thank You